Finarte

Urania
Casa d'Aste

Fairy Tales

N°204 | 2023

29 September 2023

I, the undersigned First name and last name/Company _____

Client number (for internal use) _____

Address _____ Postal code _____

City _____ Stato _____

E-mail _____ Telefono _____

TAX Code – VAT Number _____

by signing this form, declare that I have read and fully accept the General Conditions of Sale delivered to me by Finarte S.p.A. and printed in the auction catalogue, published on Finarte Auctions S.r.l. ("Finarte") website and posted in the auction room, and authorize Finarte to:

☐ ABSENTEE BIDDING

bid on my behalf at the above sale for the following lot(s) up to the price(s) set out below;

☐ TELEPHONE BIDDING

contact me by telephone - at the telephone number indicated above - when the following lot(s) will be offered for sale in order to be able to make one or more telephone bids. Should Finarte be unable to contact me by telephone or the line break down during the sale, Finarte may execute the covering bid(s) listed below on my behalf.

Signature _____ **Date and time** _____

Please attach here to a copy of a valid identity document.

LOT	ITEM	ABSENTEE BID (20% BP EXCLUDED)	COVERING BID* (TELEPHONE BIDDING)
		€	€
		€	€
		€	€
		€	€
		€	€
		€	€
		€	€
		€	€
		€	€
		€	€
		€	€
		€	€
		€	€
		€	€
		€	€
		€	€
		€	€

Please fax o email to | +39 06 69923077 | bid.roma@finarte.it

Notice to bidders
Bid should be submitted at least **24 hours before the auction.** Our office will confirm all the offers received; in case you shouldn't receive confirmation of reception within the following day, please contact us.
Corporate clients should provide a copy of their articles of association together with a letter of authority authorizing them to bid on the company's behalf. Failure to provide said documentation may result in your bids not being processed. For high value lots you may be asked to provide a bank reference.

I, the undersigned, declare to specifically approve, pursuant to Article 1341 of the Italian Civil Code, the following clauses of the General Terms and Conditions of Sale: 2.6 - 2.7 - 4.1.6 - 4.1.12 - 4.1.13 - 4.2.5 - 4.3.5 - 4.3.8 - 4.4.6 - 4.4.7 - 4.4.8 - 4.5.6. - 4.5.7 - 5.6 - 6 - 7.11 - 7.12 - 7.13 - 7.14 - 8.6 - 9.1. - 9.2 - 9.3 - 9.4 - 10.3 - 16.

Signature _____ **Date** _____

PRIVACY POLICY
I have read and understood the information on the processing of personal data, contained in Article 17 of the General Terms and Conditions of Sale published in the catalogue of Finarte S.p.A. and issued in accordance with Article 13 of Regulation (EU) 2016/679 and

☐ **i give consent** ☐ **I do not give consent**

all'invio di materiale pubblicitario e informativo su prodotti e servizi di Finarte a mezzo di sistemi automatizzati, quali e-mail, fax, sms o MMS, o a mezzo del servizio postale o di chiamate telefoniche con operatore;

☐ **i give consent** ☐ **I do not give consent**

to the processing of data for profiling activities aimed at sending commercial communications on products and services similar to those already purchased (so-called "soft spam"), as well as for advertising and information material on products and services of Finarte to be sent by means of automated systems, such as e-mail, fax, SMS or MMS, or by postal service or telephone calls with operator.

Signature _____

Date _____

ANTI-MONEY LAUNDERING
Pursuant to and for the purposes of Legislative Decree 231/2007 containing, among other things, measures on the prevention and combating of money laundering, aware of the criminal and civil liabilities as well as of the administrative effects deriving from making false or incomplete declarations, I, the undersigned represent and warrant that:
1. I am acting personally, or – if acting on behalf of third parties – I am acting exclusively in the name and on behalf of the person indicated in the power of attorney supplied to Finarte S.p.A.;
2. that the funds that I will use in the event of an award are not of an illegal origin;
3. if the undersigned is a legal entity, the beneficial owner is

Name and Surname _____

TAX Code _____

born in _____ of _____

residing _____

(If there is more than one beneficial owner, please inform Finarte so that it can provide you with a form with more boxes.)
4. neither the undersigned nor the beneficial owner of the undersigned is a Politically Exposed Person, or a family member of a Politically Exposed Person, or a person known to be close associate with a Politically Exposed Person.

Signature _____

Date _____

Finarte Auctions S.r.l. Via IV Novembre 114 | 00187 Rome | Tel.: +39 06 6791107 | Fax :+39 06 69923077 | Email: roma@finarte.it

1) Pursuant to Article 20, paragraphs 1 to 5, of Legislative Decree 231/2007, the criteria for determining the beneficial ownership of clients other than natural persons are as follows: "1. For clients other than natural persons the beneficial owner is the natural person or persons to whom direct or indirect ownership or control of the entity is ultimately attributable. 2. If the client is a joint-stock company: a) the ownership of more than 25 per cent of the client's capital, held by a natural person, is considered as direct ownership; b) the ownership of more than 25 per cent of the client's capital, held through subsidiaries, trust companies or third parties, is considered as indirect ownership. 3. In cases where an examination of the ownership structure does not allow the unambiguous identification of the natural person or persons to whom the direct or indirect ownership of the entity is attributable, the beneficial owner is the natural person or persons to whom the control of the entity is ultimately attributable by virtue of: a) control of the majority of the votes exercisable in ordinary shareholders' meetings; b) control of votes sufficient to exercise a dominant influence in ordinary shareholders' meetings; c) the existence of particular contractual obligations that allow the exercise of a dominant influence. 4 In the event that the client is a private legal entity, as per Presidential Decree No. 361 of 10 February 2000, the following are cumulatively identified as beneficial owners: a) the founders, if alive; b) the beneficiaries, if identified or easily identifiable; c) the holders of management and administration functions. 5. Where the application of the criteria set out in the preceding paragraphs does not enable one or more beneficial owners to be clearly identified, the beneficial owner(s) shall correspond to the natural person(s) holding, in accordance with their respective organisational or statutory structures, legal representation, administration or management powers of the company or client in any case other than the natural person".
2) Pursuant to Article 1, lett. dd), paragraph 2, of Legislative Decree 231/2007: "A) POLITICALLY EXPOSED PERSONS: are natural persons who hold office or have ceased to hold office for less than one year as: (a) President of the Republic, Prime Minister, Minister, Deputy Minister and Undersecretary, President of the Region, Regional Minister, Mayor of a provincial capital or metropolitan city, Mayor of a municipality with a population of not less than 15,000 inhabitants and similar offices in foreign States; (b) Member of Parliament, Senator, Member of the European Parliament, Regional Councilor and similar offices in foreign States; (c) Member of the central governing bodies of political parties;.(d) Judge of the Constitutional Court, magistrate of the Court of Cassation or the Court of Auditors, State Councilor and other members of the Administrative Council of Justice for the Region of Sicily and similar offices in foreign States; (e) Member of the governing bodies of central banks and independent authorities; (f) Ambassador, Chargé d'Affaires or equivalent offices in foreign States, senior officer in the armed forces or equivalent offices in foreign States; (g) member of the administrative, management or control bodies of companies controlled, even indirectly, by the Italian State or by a foreign State or companies in which the Regions, provincial capitals and metropolitan cities and municipalities with a total population of not less than 15.000 inhabitants hold a majority or total interest; (h) general manager of ASL (Local Health Authorities) and of a hospital, university hospital and other bodies of the national health service; (i) director, deputy director and member of the management body or person performing equivalent functions in international organizations; B) FAMILY MEMBERS: are (a) parents and spouse; (b) the person bound to the politically exposed person in a civil partnership or de facto cohabitation or similar institutions; (c) children and their spouses; (d) persons bound to children in a civil partnership or de facto cohabitation or similar institutions; C) PERSONS KNOWN TO BE CLOSE ASSOCIATES WITH A POLITICALLY EXPOSED PERSON: are (a) natural persons who, under this Decree, hold jointly with politically exposed person the beneficial ownership of legal entities , trusts and similar legal institutions or entertain close business relationships with the politically exposed person; (b) natural persons who hold only formally the total control of an entity known to have been incorporated, de facto, in the interest and for the benefit of a politically exposed person".

Finarte

Urania
Casa d'Aste

Fairy Tales

N°204 | 2023

29 Septembre 2023

Je soussigné(e) Nom et prénom / Société _____

Numéro de client (usage interne uniquement) _____

Adresse _____ Code postal _____

Ville _____ Province _____ Etat _____

E-mail _____ Téléphone _____

Carte de sécu – Numéro de TV _____

En signant ce formulaire, je déclare avoir pris connaissance et accepter sans réserve les Conditions Générales de Vente qui me sont remises par Finarte Auction S.r.l. ("Finarte") et qui sont imprimés dans le catalogue de la vente aux enchères, publiés sur le site Internet de Finarte et affichés dans la salle de vente, et de confier à Finarte la tâche de

☐ OFFRE ÉCRITE

à enchérir en mon nom sur les lots énumérés ci-dessous jusqu'à la valeur maximale indiquée (enchère maximale plus les frais et autres coûts, le cas échéant).

☐ ENCHÈRES TÉLÉPHONIQUES

contactez-moi par téléphone - au numéro de téléphone mentionné ci-dessus - afin d'enchérir pour les lots énumérés ci-dessous. *Si Finarte ne parvient pas à me contacter ou si la communication est interrompue pendant la vente, Finarte pourra formuler en mon nom les offres de couverture indiquées ci-dessous.

Signature _____ **Date et l'heure** _____

Veuillez joindre une copie d'une pièce d'identité valide à ce formulaire.

LOT	TITRE - DESCRIPTION	ENCHÈRE MAXIMALE (FRAIS DE VENTE EXCLUS 20%)	COVERING BID* (ENCHÈRES TÉLÉPHONIQUES)
		€	€
		€	€
		€	€
		€	€
		€	€
		€	€
		€	€
		€	€
		€	€
		€	€
		€	€
		€	€
		€	€
		€	€
		€	€
		€	€
		€	€

Veuillez nous envoyer le formulaire par fax ou par e-mail | +39 06 69923077 | bid.roma@finarte.it

Avis aux soumissionnaires
Les offres doivent être reçues au moins 24 heures avant le début de la vente. Notre bureau confirmera toutes les offres reçues, si aucune confirmation n'est reçue, svp veuillez nous contacter. Si vous agissez au nom d'une société, veuillez joindre une copie des statuts de la société ainsi que le document vous autorisant à soumissionner au nom de la société. Sans cette documentation, votre offre ne pourra pas être acceptée. Pour les lots importants, une référence bancaire peut être exigée.

Je, soussigné, déclare approuver expressément, aux termes de l'article 1341 du Code civil italien, les clauses suivantes des Conditions générales de vente: 2.6 - 2.7 - 4.1.6 - 4.1.12 - 4.1.13 - 4.2.5 - 4.3.5 - 4.3.8 - 4.4.6 - 4.4.7 - 4.4.8 - 4.5.6. - 4.5.7 - 5.6 - 6 - 7.11 - 7.12 - 7.13 - 7.14 - 8.6 - 9.1. - 9.2 - 9.3 - 9.4 - 10.3 - 16.

Signature _____ **Date** _____

POLITIQUE DE CONFIDENTIALITÉ
J'ai lu et compris les informations sur le traitement des données personnelles, contenues dans l'art. 17 des Conditions Générales de Vente publiées dans le catalogue Finarte et émises conformément à l'art. 13 du Règlement (UE) 2016/679,

☐ **Je consens** ☐ **Je ne consens pas**

l'envoi de matériel publicitaire et d'information sur les produits et services de Finarte par des systèmes automatisés, tels que le courrier électronique, le fax, le SMS ou le MMS, ou par le service postal ou les appels téléphoniques avec opérateur ;

☐ **Je consens** ☐ **Je ne consens pas**

le traitement des données pour des activités de profilage visant à envoyer des communications commerciales sur des produits et services similaires à ceux déjà achetés (appelés "soft spam"), ainsi que l'envoi de matériel publicitaire et d'information sur les produits et services de Finarte par le biais de systèmes automatisés, tels que le courrier électronique, le fax, les SMS ou MMS, ou par le biais du service postal ou d'appels téléphoniques avec opérateur.

Signature _____

Date _____

RÈGLES DE PRÉVENTION CONTRE LE BLANCHIMENT D'ARGENT
En vertu et aux fins du décret législatif 231/2007 contenant, entre autres, des mesures de prévention et de lutte contre le blanchiment d'argent, conscient de la responsabilité pénale civile ainsi que des effets administratifs découlant de l'émission de déclarations fausses ou incomplètes, le soussigné déclare et garantit:
1. Agir personnellement, ou - en cas de représentation de tiers - agir exclusivement au nom et pour le compte de la personne indiquée dans la procuration remise à Finarte;
2. que les fonds qu'il utilisera en cas d'attribution ne sont pas d'origine illicite;
3. dans le cas où le soussigné est une personne morale, que le bénéficiaire effectif est

Nom et prénom

C.F. _____

nè en _____ le _____

résident en _____

(dans le cas où il y a plus d'un bénéficiaire effectif, veuillez en informer Finarte afin qu'elle puisse vous fournir un formulaire avec un plus grand nombre de cases).
4. que ni le soussigné ni le bénéficiaire effectif du soussigné n'est une personne politiquement exposée ou un membre de la famille d'une personne politiquement exposée ou une personne avec laquelle une personne politiquement exposée est connue pour avoir des liens étroits.

Signature _____

Date _____

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